



GNPS molecular networking-guided discovery of antifungal butenolides from the endophytic fungus *Aspergillus* sp. FH-1 of *Valeriana officinalis* L.

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Abstract: Guided by an integrated GNPS molecular networking strategy, the chemical investigation of endophytic fungus *Aspergillus* sp. FH-1 (isolated from *Valeriana officinalis* L.) led to the discovery of four butenolides (1–4). Their structures were elucidated through comprehensive spectroscopic data analysis, including HRESIMS, 1D/2D NMR and ECD, revealing two new congeners, asperianas A (1) and B (2), along with the known analogues 3 and 4. Notably, compounds 1 and 3 exhibited significant antifungal activity against *Colletotrichum gloeosporioides*, with EC₅₀ values of 19.23 ± 1.14 and 43.36 ± 1.12 µg/mL, respectively.

Keywords: *Aspergillus* sp. FH-1, GNPS molecular networking, butenolides, antifungal

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1 Introduction

Fungal diseases cast a long shadow over global agriculture, inflicting severe damage on cash crops and incurring substantial economic losses each year (Fisher et al., 2018; Wang et al., 2022; Khan & Korban, 2022). To counter this persistent threat, the search for effective antifungal agents is of paramount importance. Among natural product leads, butenolide derivatives have attracted significant interest from researchers in both plant pathology and chemistry, thanks to their demonstrated antifungal properties. The unique architecture of these compounds—notably their phenyl and benzyl disubstitution pattern—is strongly linked to their bioactivity, positioning them as a valuable lead structure for next-generation agrochemical development (Vanti et al., 2021; Fan et al., 2026b, 2024a).

Based on the observed antifungal activities of butenolide derivatives (Fan et al., 2024a, 2024b, 2020), the endophytic

fungus *Aspergillus* sp. FH-1 was investigated for its secondary metabolites. A GNPS-based molecular networking strategy (Phelan, 2020) led to the targeted isolation of four butenolides. Among them, two new compounds, designated asperiana A (1) and B (2), were characterized alongside known analogues 3 and 4 (Figure 1). In antifungal assays against *Colletotrichum gloeosporioides*, compounds 1 and 3 demonstrated potent activity, exhibiting inhibition 2.6-fold and 1.1-fold stronger, respectively, than the positive control carbendazim.

2 Materials and Methods

General experimental procedures; Fungal Strain, Cultivation, Extraction and Isolation, and GNPS molecular networking were listed in the Supplementary Information.

2.1 Plant Material and Fungal Strain

The endophytic fungal strain FH-1, used in this study, was isolated from surface-sterilized fresh rhizomes of *Valeriana officinalis* L. The host plant material was collected in the

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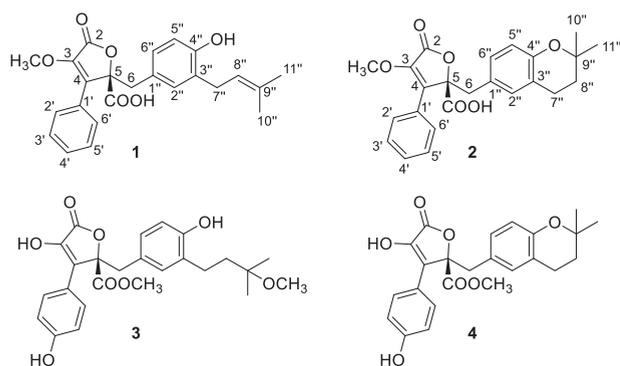


Figure 1. Chemical structure of compounds 1–4 from *Aspergillus* sp. FH-1

Taibai Mountain area within the Qinling range, Shaanxi Province, China. Botanical identification of *V. officinalis* was confirmed by Prof. Wei Wang at the School of Pharmacy, Shaanxi University of Chinese Medicine, and a voucher specimen (No. XC20241001) was deposited accordingly. For fungal identification, genomic DNA was extracted from the pure culture of strain FH-1, and the internal transcribed spacer (ITS) region of the ribosomal RNA gene was amplified by polymerase chain reaction (PCR). The resulting ITS sequence was submitted to the GenBank database under accession number KF367546.1. Sequence comparison using the NCBI BLAST algorithm and subsequent phylogenetic

analysis supported the classification of the isolate as a species of *Aspergillus*. A reference culture of strain FH-1 has been preserved at the Key Laboratory of Taibai Qiyao Research and Application, School of Pharmacy, Shaanxi University of Chinese Medicine.

2.2 Antifungal Activity Assay

Antifungal activities of the purified compounds were evaluated against *Colletotrichum gloeosporioides* based on a mycelial growth inhibition assay. A concentration series of each compound (100, 50, 25, 12.5, and 6.25 $\mu\text{g/mL}$) was prepared in triplicate and incorporated into Potato Dextrose Agar (PDA) medium. Sterile water and carbendazim were used as the negative and positive controls, respectively. Mycelial disks (5 mm diameter), excised from the actively growing edge of a fungal colony, were aseptically inoculated onto the center of each PDA plate. Following incubation at 28°C for 3 days, colony diameters were measured using the cross-intersection method, and the mycelial growth inhibition rate was calculated accordingly.

2.3 Spectroscopic Data of Asperianas A (1) and B (2)

Asperiana A (1): White amorphous powder, HRESIMS: m/z 407.1493 $[\text{M-H}]^-$, (Calculated for 407.1495 $[\text{M-H}]^-$). ^1H NMR (Methanol- d_4 , 400 MHz) and ^{13}C NMR (Pyridine- d_5 , 100 MHz), see Table 1.

Asperiana B (2): White amorphous powder, HRESIMS: m/z 407.1494 $[\text{M-H}]^-$, (Calculated for 407.1495 $[\text{M-H}]^-$). ^1H NMR

Table 1. ^1H NMR and ^{13}C NMR nuclear magnetic resonance data of compounds 1 and 2 (δ in ppm, J in Hz, solvent: CD_3OD)

No.	1		2	
	δ_{H} , mult, (J in Hz)	δ_{C} , type	δ_{H} , mult, (J in Hz)	δ_{C} , type
2		170.0, C		170.0, C
3		141.9, C		142.0, C
4		128.3, C		125.6, C
5		87.0, C		87.1, C
6	3.46, s	39.6, CH_2	3.45, d (5.2)	39.6, CH_2
7		171.5, C		171.5, C
8	3.76, s	54.1, CH_3	3.78, s	54.1, CH_3
1'		128.6, C		128.4, C
2' (6')	7.72, d (7.2)	128.7, CH	7.69, d (7.2)	128.8, CH
3' (5')	7.46, m	129.9, CH	7.47, m	130.0, CH
4'	7.38, m	130.9, CH	7.39, m	130.4, CH
1''		131.8, C		132.0, C
2''	6.44, s	132.6, CH	6.45, m	132.8, CH
3''		125.1, C		121.6, C
4''		155.2, C		154.5, C
5''	6.53, s	115.2, CH	6.43, d (8.3)	117.6, CH
6''	6.53, s	129.9, CH	6.50, dd (8.3, 2.0)	129.9, CH
7''	3.10, m	28.9, CH_2	2.54, dd (11.7, 6.6)	23.3, CH_2
8''	5.08, t (7.2)	123.7, CH	1.70, t (6.7)	33.8, CH_2
9''		133.0, C		75.3, C
10''	1.65, s	26.2, CH_3	1.22, s	27.2, CH_3
11''	1.57, s	18.0, CH_3	1.22, s	27.2, CH_3

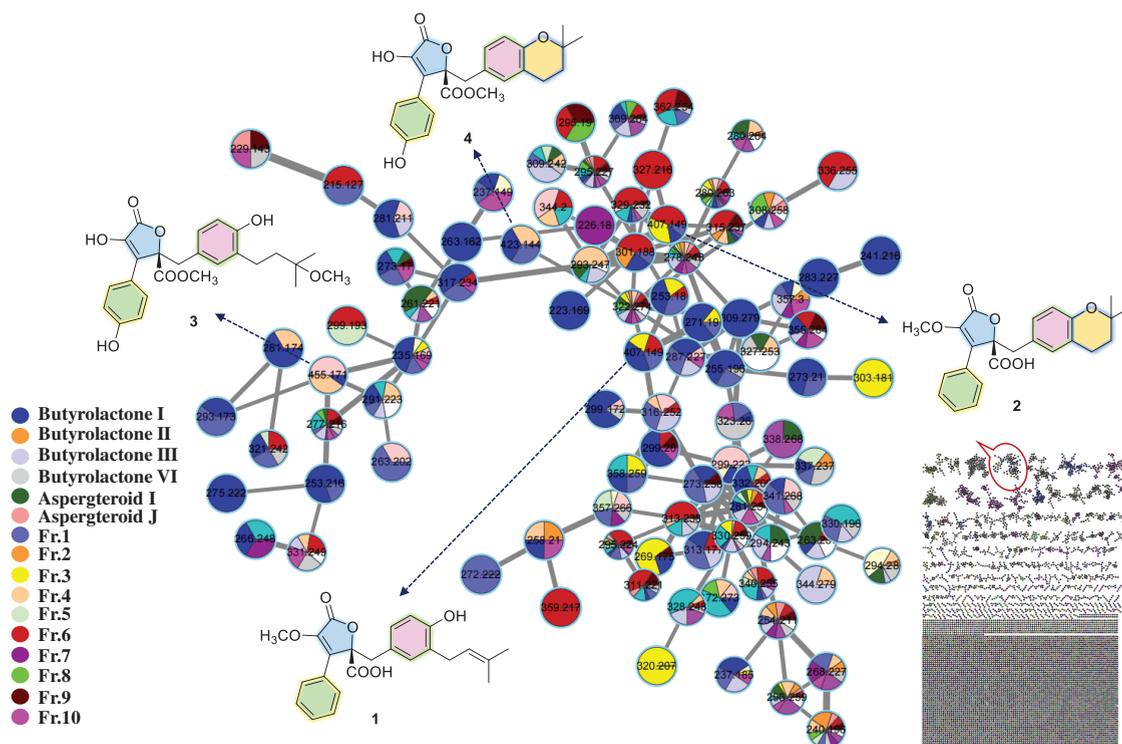


Figure 2. GNPS molecular network guiding the targeted isolation of butenolides

(Methanol- d_4 , 400 MHz) and ^{13}C NMR (Pyridine- d_5 , 100 MHz), see Table 1.

3 Results and Discussion

3.1 Targeted Identification of Butenolides Using GNPS Molecular Networking

To guide the targeted isolation of butenolides, a GNPS-based molecular network was constructed using a set of known butenolides—Butyrolactone I, Butyrolactone II, Butyrolactone III, Butyrolactone VI, Aspergteroid I, and Aspergteroid J—as reference compounds. By cross-referencing the MS/MS spectra of the primary fractions (Fr.1–10) against this reference set, a distinct butenolide-enriched cluster (Cluster 3) was identified. This cluster specifically comprised fractions Fr.3 and Fr.4, which were consequently prioritized for the focused screening and isolation of both novel and known butenolide derivatives (Figure 2).

3.2 Structure Elucidation

Compound **1** was isolated as white amorphous powder with a molecular formula of $\text{C}_{24}\text{H}_{24}\text{O}_6$, determined by its HR-ESI-MS m/z 407.1493 $[\text{M}-\text{H}]^-$ (calcd: 407.1495), indicating 13 degrees of unsaturation. The ^1H NMR spectrum indicated the signals of monosubstituted benzene ring at δ_{H} 7.72 (2H, d, $J = 7.44$ Hz, H-2'/6'), 7.46 (2H, m, H-3'/5'), an additional 1,3,4-trisubstituted benzene ring at δ_{H} 6.44 (1H, s, H-2''), 6.53 (1H, s, H-5''), 6.53 (1H, s, H-6''), and an olefinic proton at δ_{H} 5.08 (1H, t, $J = 7.4$ Hz, H-8''). The ^{13}C NMR spectrum exhibited 24 carbon resonances, classified as three methyls, two

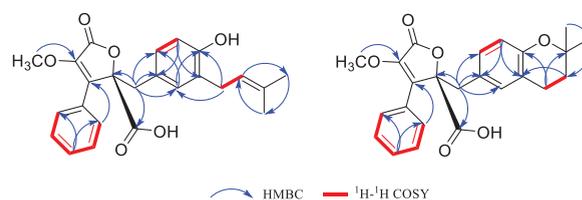


Figure 3. Structures and key 2D NMR correlations of compounds **1** and **2**

methyls, nine methines, and ten nonprotonated carbons. A lactone [δ_{C} 170.0 (C)], a carboxy group [δ_{C} 171.5 (C)], two double bonds [(δ_{C} 141.9 (C), 128.3 (C) and 123.7 (CH), 133.0 (C)], an oxygenated carbon [δ_{C} 54.1 (-OCH₃)] and twelve aromatic signals were easily discerned (Table 1, Figure 3).

The planar structure of **1** was mainly determined by 2D NMR. Comprehensive analyses of the $^1\text{H}-^1\text{H}$ COSY spectrum revealed three spin-coupling systems: H-2'/H-3'/H-4'/H-5'/H-6', H-5''/H-6'', H-7''/H-8''. The HMBC correlations from H-6 to C-5, C-7, C-1'', C-2'' and C-6''; H-5'' to C-1'' and C-3''; H-2'' to C-4'' and C-6''; H-7'' to C-2'' and C-4''; H-8'' to C-11''; H-11'' to C-10''; H-10'' to C-8''; H-8 to C-3. This completed the planar structures of compound **1**. Therefore compound **1** was deduced and named as asperiana **A** (Figure 1).

Compound **2** was isolated as white amorphous powder with a molecular formula of $\text{C}_{24}\text{H}_{24}\text{O}_6$, determined by its HR-ESI-MS m/z 407.1494 $[\text{M}-\text{H}]^-$ (calcd: 407.1495), indicating 13 degrees of unsaturation. The NMR data of compound **2** was extremely similar to **1**, indicating the same skeleton.

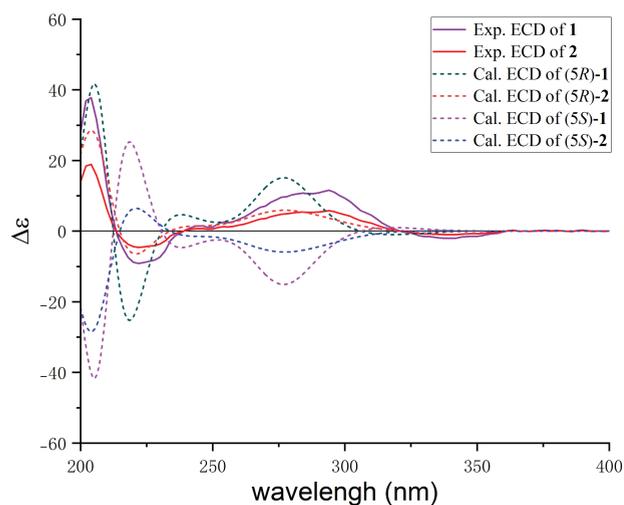


Figure 4. Experimental and calculated ECD spectra of compounds 1 and 2

Compared with **1**, **2** showed additional signals of one methylene [δ_{H} 1.70 (2H, t, $J = 6.7$ Hz, H-8''); δ_{C} 33.8 (CH₂)] and one oxygenated quaternary [δ_{C} 75.3 (C)], while two olefinic carbons [δ_{H} 5.08 (1H, t, $J = 7.4$ Hz, H-8''); δ_{C} 123.7 (CH), 133.0 (C)] in **1** were absent in **2**. The ¹H-¹H COSY correlation of H-7'' and H-8'', combined with HMBC correlations from H-7'' to C-4'' and C-9'', H-8'' to C-3'', H-10'' to C-9'' and C-11'', H-11'' to C-8'' suggested a dihydropyran ring connected at C-3''-C-4''. Thus, the structure of **2** was established. Therefore compound **2** was deduced and named as asperiana B (Figure 1).

The absolute configurations of the new butenolides **1** and **2** were unambiguously assigned through comparison of their experimental electronic circular dichroism (ECD) spectra with the corresponding time-dependent density functional theory (TD-DFT) calculated spectra (Figure 4). For asperiana A (**1**), the experimental spectrum displayed a negative Cotton effect at 225 nm and a positive Cotton effect at 278 nm, which aligned excellently with the calculated spectrum for the 5R enantiomer (negative band at 220 nm, positive band at 270 nm). Similarly, the experimental ECD spectrum of asperiana B (**2**) exhibited a negative Cotton effect at 225 nm and a broad positive effect centered around 275 nm, convincingly matching the calculated profile for the 5R configuration (negative band at 222 nm, positive band at 272 nm). The consistent sign pattern of the Cotton effects observed for both compounds strongly supports their shared 5R configuration at the key stereogenic center (C-5), an assignment further corroborated by the proposed biosynthetic pathway for this class of butenolides (Fan et al., 2024a). Collectively, this detailed ECD analysis provides robust chiroptical evidence for the absolute stereochemistry of the new metabolites.

The structures of the 4 isolated butenolides were elucidated by comprehensive analysis of their spectroscopic data, including NMR and HRESIMS. Their identities were further confirmed by comparing their physicochemical properties

Table 2. The EC₅₀ values (μg/mL) of compounds 1–4 against the mycelial growth of *C. gloeosporioides*

Compounds	EC ₅₀	Compounds	EC ₅₀
1	19.23 ± 1.14	4	>50
2	>50	Carbendazim*	49.58 ± 0.10
3	43.36 ± 1.12		

Note: *Positive control.

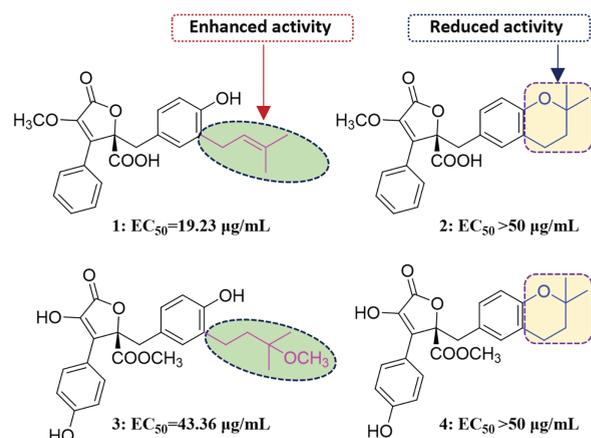


Figure 5. SAR analysis of butenolide compounds

and spectral data with those reported in the literature. Ultimately, the compounds were identified as aspernolide C (**3**) (Liu et al., 2018), aspernolide A (**4**) (Guo et al., 2016).

3.3 Antifungal Activities of Compounds 1–4

Antifungal activities are usually reported in natural or synthetic compounds (Fan et al., 2026b; Hu et al., 2018; Liu et al., 2024; Wang et al., 2022a, 2022b; Yang et al., 2025; Zheng et al., 2023; Zhong et al., 2016), in this study compounds 1–4 against the phytopathogen *Colletotrichum gloeosporioides* were preliminarily evaluated using the mycelial growth inhibition assay (Fan et al., 2024a). All compounds were tested at a series of five concentrations: 100, 50, 25, 12.5, and 6.25 μg/mL. The results, summarized in Table 2, indicated that compounds 1–4. Notably, compounds **1** and **3** displayed stronger activity than the positive control, carbendazim.

3.4 Discussion of Structure–Activity Relationship

Antifungal assessment of the isolated butenolides revealed a clear structure–activity relationship (SAR) (Figure 5). Compounds **1** and **3**, which displayed stronger activity than the positive control carbendazim, both possess a flexible prenyl (or oxidized prenyl) side chain at the C-3'' position. This structural motif appears crucial for the observed potency. In contrast, the significantly reduced activity of compounds **2** and **4** coincides with the cyclization of this prenyl group with the adjacent phenolic hydroxyl at C-4'', forming a dihydropyran ring. This conformational constraint likely reduces molecular flexibility and may hinder key interactions, thereby diminishing antifungal efficacy.

4 Conclusion

In this study, the GNPS molecular networking strategy was successfully employed for the targeted isolation of anti-fungal butenolide metabolites from the endophytic fungus *Aspergillus* sp. FH-1, leading to the acquisition of four 4,5-disubstituted butenolide derivatives (Fan et al., 2022). These compounds displayed characteristic UV absorptions at approximately 220 and 306 nm and molecular weights around 400 Da, establishing useful criteria for the rapid screening of analogous structures. Antifungal activity analysis further revealed that the flexibility and spatial configuration of specific structural units, particularly the C-3'' side chain, played a critical role in activity modulation, thereby providing a clear foundation for the targeted structural optimization of butenolide-based antifungal agents.

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Author Contributions

Hao Fan: Writing—original draft, Investigation, Data curation, Project administration. Xin Wang: Data curation. Yinhan Teng: Data curation. Pingping Wu: Data curation. Haifang Wang: Data curation. Yuze Li: Data curation. Henglei Niu: Data curation. Wei Wang: Data curation, Supervision. Xiaomei Song: Data curation, Supervision. Qing Chang: Writing—review & editing, Data curation, Supervision. Dongdong Zhang: Writing—review & editing, Data curation, Supervision.

Availability of Data and Materials

The authors declare that the data supporting the findings of this study are available within the paper and its Supplementary Information files. Should any raw data files

be needed in another format they are available from the corresponding author upon reasonable request. Source data are provided with this paper.

Conflicts of Interest

The authors declare that they do not have any conflict of interest.

Supporting Information

Supporting Information accompanies this paper on <http://www.acgpubs.org/journal/records-of-natural-products>.

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